|  |  |
| --- | --- |
| **SECTION 1** | **SECTION 2** |
| Name(exactly as primary ID) |  | 1. Income Range (Family/Individual) < $25,000 $25,001 - $50,000

 $50,001 - $100,000 $100,001 - $150,000 $150,001 - $250,000  $250,001 - $500,000 >$500,000  |
| Address | 1. Purpose of Account:

 Savings and Salary Sending Remittances to India Cash receipts/payments  Receiving Social Security benefits Collection/Issue of checks Other (specify): |
| City State Zip | 1. Expected Annual Volume of Transactions:

 <$10,000 $10,000 - $25,000 $25,000 - $50,000  $50,000 - $100,000 $100,000 - $150,000 >$150,000 |
| SSN/ITIN\*\* | Home Phone | 1. Source of funds: Current Income/wages Past savings

 Sale of property Pension/S.S benefits Liquidation of investments  Other (specify): |
| PAN | Mobile Phone | 1. Expected Annual Cash Transactions:

How many transactions annually? #\_\_\_\_ Amount $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| DOB | Employer Phone | 1. Will you be sending wire transfers? Yes No
 |
| Mailing Address (if different from home address) | Address  | How many wire transfers annually? # | Expected annual volume of wire transfers? $ |
| City State Zip | 1. Will you be sending remittances to India? Yes No
 |
| Email address |  | 1. If you answered yes in 7, please tell us what you anticipate sending annually in the following categories.
 |
| Driver’s License or State ID # |  | Issue Date | Exp Date | Remittance Category | Expected Annual Volume |
| Are you employed? |  Yes No | Occupation | Loan Accounts (Home Loan / Education Loan) | $ |
| Name of Employer |  | NRI Accounts (Savings / Fixed Deposits) | $ |
| Employer Address |  | House Construction | $ |
| City State Zip | Personal Expenses/Family Maintenance | $ |
| Place of Birth | City State Country | Education Expense | $ |
| Resident Status |  US Citizen Resident Alien\* Permanent Resident  | Other (specify) | $ |
| If a resident, what year did you enter the US? |
| **\*RESIDENT ALIEN STATUS – Additional detail on resident aliens** | **\*\*FATCA** |
| *Substantial presence test**–* An alien is considered a resident alien if the individual meets the substantial presence test for the calendar year. Under this test, the individual must be physically present in the U.S. on at least31 days during the current calendar year and183 days during a 3year period being the current year and the 2 preceding years, counting all the days of physical presence in the current year, but only 1/3 the number of days of the presence in the first preceding year, and only 1/6 the number of days in the second preceding year.*Exempt individuals:** An individual temporarily present in the United States as a foreign government-related individual under an “A” or “G” visa, other than individuals holding “A-3” or “G-5” class visas.
* A teacher or trainee temporarily present in the United States under a “J” or “Q” visa, who substantially complies with the requirements of the visa.
* A student temporarily present in the United States under an “F,” “J,” “M,” or “Q” visa, who substantially complies with the requirements of the visa.
* A professional athlete temporarily in the United States to compete in a charitable sports event.

For other specific instructions please refer to the IRS related site listed below.* [Substantial-Presence-Test](http://www.irs.gov/Individuals/International-Taxpayers/Substantial-Presence-Test), You will be considered a U.S. resident for tax purposes if you meet the substantial presence test.
 | The Foreign Account Tax Compliance Act (FATCA) includes specific obligations that require U.S. withholding agents to perform due diligence requirements to validate pre- existing accounts held by U.S. persons as defined in the IRS Code. As part of State Bank of India’s (SBI) effort to comply with FATCA, we are collecting updated U.S. tax forms from account holders.**In accordance with IRS guidance, we must obtain an updated tax form from you to ensure your account reflects a valid FATCA status and avoids unnecessary tax withholding of 30%. Please return your updated form(s) in hard copy to State Bank of India, Chicago**.Below is a tax form list for individuals, please review it and select the IRS related link provided to assist you in submitting the appropriate documentation for your account. The link will access the IRS site containing the tax form selected and the relevant instructions.• Form W-8BEN, Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding• Form W-9 Request for Taxpayer Identification Number (TIN) and Certification |
|  |
| Signature Date**X**  | **IMPORTANT: Before you mail your form to us, don’t forget:**1. **Include a copy of your most recent ID proof (DL/State ID/Passport/US Alien Registration.**
2. **Completed Tax form.**
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Customer #

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